



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

09/826,786

04/05/2001

Patrick Montoya

AUT-10002/36

4084

25006

7590

04/11/2008

GIFFORD, KRASS, SPRINKLE, ANDERSON & CITKOWSKI, P.C

PO BOX 7021

TROY, MI 48007-7021

EXAMINER

LOFTIS, JOHNNA RONEE

ART UNIT

PAPER NUMBER

3623

MAIL DATE

DELIVERY MODE

04/11/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 09/826,786	<b>Applicant(s)</b> MONTROYA, PATRICK	
	<b>Examiner</b> JOHNNA R. LOFTIS	<b>Art Unit</b> 3623	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 21 January 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-7,9,10,15,16 and 31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-7,9,10,15,16 and 31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

1. In view of the Appeal Brief filed on 1/21/08, PROSECUTION IS HEREBY REOPENED. New rejections of the claims are set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

- (1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,
- (2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below:

### ***Response to Arguments***

2. Applicant's arguments, with respect to the rejection(s) of claim(s) 1-7, 9, 10, 15, 16 and 31 under 35 USC 103(a) have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of West et al, US 6,175,833 and West et al combined with Stiles, US 6,330,608.

3. Applicant argues the Matyas, Jr., reference allows prospective purchasers to see evaluations of others regardless of whether they submit a survey response. Applicant also argues

Art Unit: 3694

the combination of Matyas and West et al renders the prior art invention unsatisfactory for its intended purpose. After thorough review of the combination, Examiner agrees and has modified rejections as set forth below.

***Claim Rejections - 35 USC § 112***

4. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

5. Claim 15 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. It is not clear what Applicant intends by the phrase “assimilating the survey response into the composite survey response”. Turning to the specification, there is no clarification of this “assimilation” step. Clarification is requested.

***Claim Rejections - 35 USC § 102***

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

Art Unit: 3694

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

8. Claims 1-3, 5, 9, 10, 15, and 16 rejected under 35 U.S.C. 102(e) as being anticipated by West et al et al, US 6,175,833.

As per claim 1, West et al teaches establishing communication between a client and a survey collector having previously collected survey results, the results including a composite survey response (column 3, lines 38-63 – computer network system; column 4, lines 16-27 – online voting; inherently the system contains voting results for all surveys); receiving by the survey collector a request from the client for the previously collected survey results (column 9, lines 19-36 – user requests story along with survey results); providing the client with a survey questionnaire from the survey collector (column 7, line 54 – column 8 line 67 – user is presented a survey if the user has not already submitted a vote); providing access to the previously collected survey results to the client only if the survey collector receives a response to the survey questionnaire from the client (column 9, lines 19-36 – user must first vote before viewing survey results); rejecting the client's request for the survey results if a response to the survey questionnaire is not received from the client (column 9, lines 19-36 – inherently if the user does

Art Unit: 3694

not vote, he/she does not gain access to the survey results); and wherein the composite survey response is unrelated to the survey questionnaire (column 3, lines 38-63 – computer network system; column 4, lines 16-27 – online voting; inherently the system contains voting results for all surveys)

As per claim 2, West et al teaches establishing communication is done via the Internet (column 3, lines 38-63 – computer network system; column 4, lines 16-27 – online voting).

As per claim 3, West et al teaches relating the survey questionnaire by subject matter to the previously collected survey results (column 8, lines 41-65 – survey ID used to correlate with user's global unique identifier (GUID)).

As per claim 5, West et al teaches providing the client with the survey questionnaire includes requesting the identifying indicia from the client (column 8, lines 41-65 – survey ID used to correlate with user's global unique identifier (GUID)).

As per claim 9, West et al teaches receiving by the survey collector identifying indicia from the client (column 5, lines 47-50 and column 6, lines 28-38 – obtains user's global unique identifier; column 8, lines 41-65 – survey ID used to correlate with user's global unique identifier (GUID)); and correlating the identifying indicia with a database to determine if a relationship exists between the identifying indicia and the subject matter of the survey questionnaire (column 5, lines 47-50 and column 6, lines 28-38 – obtains user's global unique identifier; column 8, lines 41-65 – survey ID used to correlate with user's global unique identifier (GUID)).

As per claim 10, Matyas teaches receiving by the survey collector identifying indicia from the client (column 5, lines 47-50 and column 6, lines 28-38 – obtains user's global unique identifier); and correlating the identifying indicia with a database to determine determining if a relationship exists between the identifying indicia and the client (column 5, lines 47-50 and column 6, lines 28-48 – obtains user's global unique identifier determines whether user has voted previously).

As per claim 15, West et al teaches the client is denied access to the previously collected survey results if no relationship is found between the indicia and the client (column 9, lines 19-36 – inherently if the user does not vote, he/she does not gain access to the survey results).

As per claim 16, West et al teaches assimilating the survey response into a composite survey response (column 3, lines 38-63 – computer network system; column 4, lines 16-27 – online voting; inherently the system contains voting results for all surveys).

### ***Claim Rejections - 35 USC § 103***

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claims 4, 6 and 7 rejected under 35 U.S.C. 103(a) as being unpatentable over West et al, US 6,175,833.

As per claim 4, West et al teaches the creation of surveys (column 5, lines 1-22) but does not explicitly teach the request for previously collected survey results and the survey questionnaire are related to automotive vehicles; however, these differences are only found in the non-functional descriptive material and are not functionally involved in the steps recited nor do they alter the recited structural elements. The recited method steps would be performed the same regardless of the specific data. Further, the structural elements remain the same regardless of the specific data. Thus, this descriptive material will not distinguish the claimed invention from the prior art in terms of patentability, *see In re Gulack*, 703 F.2d 1381, 1385, 217 USPQ 401, 404 (Fed. Cir. 1983); *In re Lowry*, 32 F.3d 1579, 32 USPQ2d 1031 (Fed. Cir. 1994); MPEP § 2106.

As per claim 6, West et al teaches identifying indicia (column 5, lines 47-50 and column 6, lines 28-38 – obtains user's global unique identifier), but does not explicitly teach the indicia is a product identification number; however, these differences are only found in the non-functional descriptive material and are not functionally involved in the steps recited nor do they alter the recited structural elements. The recited method steps would be performed the same regardless of the specific data. Further, the structural elements remain the same regardless of the specific data. Thus, this descriptive material will not distinguish the claimed invention from the prior art in terms of patentability, *see In re Gulack*, 703 F.2d 1381, 1385, 217 USPQ 401, 404 (Fed. Cir. 1983); *In re Lowry*, 32 F.3d 1579, 32 USPQ2d 1031 (Fed. Cir. 1994); MPEP § 2106.

As per claim 7, West et al teaches identifying indicia (column 5, lines 47-50 and column 6, lines 28-38 – obtains user's global unique identifier), but does not explicitly teach the indicia is a VIN number; however, these differences are only found in the non-functional descriptive material and are not functionally involved in the steps recited nor do they alter the recited



Art Unit: 3694

structural elements. The recited method steps would be performed the same regardless of the specific data. Further, the structural elements remain the same regardless of the specific data. Thus, this descriptive material will not distinguish the claimed invention from the prior art in terms of patentability, *see In re Gulack*, 703 F.2d 1381, 1385, 217 USPQ 401, 404 (Fed. Cir. 1983); *In re Lowry*, 32 F.3d 1579, 32 USPQ2d 1031 (Fed. Cir. 1994); MPEP § 2106.

11. Claim 31 rejected under 35 U.S.C. 103(a) as being unpatentable over West et al, US 6,175,833, further in view of Stiles, US 6,330,608.

As per claim 31, West et al teaches receiving by the survey collector identifying indicia from the client and only providing survey results if the user's global unique identifier is correlated and shows the user has previously voted (column 5, lines 47-50 and column 6, lines 28-48 – obtains user's global unique identifier), but does not explicitly teach correlating the identifying indicia with a database to determine if the client is a registered member and providing access only if the client is also a registered member. Stiles teaches a method and system for registering modules or service providers requesting access to a computer system, its hardware and/or its software wherein only registered modules will access to the computer system. It would have been obvious to one of ordinary skill in the art at the time of the invention to include in the survey system of West et al the registration function as taught by Stiles since the claimed invention is merely a combination of old elements, and in combination each element merely would have performed the same function as it did separately, and one of ordinary skill in the art would have recognized the results of the combination were predictable.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JOHNNA R. LOFTIS whose telephone number is (571)272-6736. The examiner can normally be reached on M-F 8am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tariq Hafiz can be reached on 571-272-6729. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/jl/  
4/10/08

/James P Trammell/  
Supervisory Patent Examiner, Art Unit 3694